

Dear Valued Customer:

The anti-money laundering requirements on securities firms dramatically increased with the enactment of the USA Patriot Act of 2001, voted into law following the September 11th attacks on our country. This new regulation contains the most significant Anti-money laundering provisions since the Bank Secrecy Act passed in 1970. The Patriot Act is aimed at giving the government new powers in the war on terrorism.

As a result of the Patriot Act legislation referenced above, we are required to make several changes to our current new account procedures, including capturing detailed information on all account owners, as well as authorized parties and agents on accounts, and verifying their identity.

For all corporate account types, Princeton's brokers are required to ask if the corporation is doing business in the country of its incorporation and a copy of the **Certificate of Incorporation is a required document**. This document serves as proof of identification that the entity exists, while also verifying the state, providence/country of incorporation.

The Certificate of Incorporation is now required for the following types of corporate accounts: Non-Profit Inc., Association, Broker Dealer, Corporation, Foundation Inc., Insurance Co., Investment Co., Listed Corp, Commercial Bank, Mortgage Bank, Savings & Loan, Thrift Bank, Mutual Fund, Sole Owned Corp, Sub Chapter S, Investment Club Inc, Union Fund.

I've attached **additional documents** required by our Clearing Firm that need to be completed as well. Please have all documents completed and forwarded back to my attention:

Princeton Financial Group LLC
825 Georges Road
North Brunswick, NJ 08902
Attention: Lisa Calabrese
732-249-6400