

PRINCETON FINANCIAL GROUP, LLC BUSINESS CONTINUITY PLAN SUMMARY DISCLOSURE STATEMENT

Princeton Financial Group, LLC ("PFG"), pursuant to National Association of Securities Dealers, Inc. ("NASD") rules, has created a Business Continuity Plan, to address and guide our response to and recovery from Significant Business Disruptions ("SBDs"). Copies of this Summary Disclosure Statement shall be given to all clients at the time they open their accounts with PFG. PFG's Business Continuity Plan is subject to modification and an updated summary will be promptly e-mailed to our clients. Alternatively, clients may obtain updated summaries by requesting a written copy by mail or fax.

In all of the following scenarios, PFG plans to continue business and our planned recovery time, depending upon the time of occurrence, should not exceed 24 hours from the time of the declaration of the SBD. Staff, telephone calls, and e-mails will return to their original location upon full restoration of service.

Disruption to a single building or our Firm's main office

If a disruption occurs at our main office located at 825 Georges Rd, North Brunswick, NJ 08902, we will reroute incoming telephone calls to individual cell phones of our firm personnel. Firm personnel will be directed to proceed to an alternate location or locations where they will have internet access in order to utilize the web-based services of our clearing firm, Jefferies & Company, Inc.

Disruption to a business district

If a disruption occurs in our business district affecting our main office located at 825 Georges Rd, North Brunswick, NJ 08902, we will reroute incoming telephone calls to individual cell phones of our firm personnel. Firm personnel will be directed to proceed to an alternate location or locations where they will have internet access in order to utilize the web-based services of our clearing firm, Jefferies & Company, Inc. These locations shall be outside the business district.

City-wide business disruption

If a disruption occurs in our city-wide area that affects our main office located at 825 Georges Rd, North Brunswick, NJ 08902, we will reroute incoming telephone calls to individual cell phones of our firm personnel. Firm personnel will be directed to proceed to an alternate location or locations where they will have internet access in order to utilize the web-based services of our clearing firm, Jefferies & Company, Inc. These locations shall be outside the city-wide area.

Regional disruption

If a regional disruption occurs that affects our main office located at 825 Georges Rd, North Brunswick, NJ 08902, we will reroute incoming telephone calls to individual cell phones of our firm personnel. Firm personnel will be directed to proceed to an alternate location or locations where they will have internet access in order to utilize the web-based services of our clearing firm, Jefferies & Company, Inc.

In the event of a regional disruption and you can not contact the main office, or access individual firm personnel you can call the following 800 #'s at Jefferies & Company, Inc. to request info about your account: 866-262-2796 or 866-262-2931. Alternatively, you can call the following emergency #'s in the event that Jefferies personnel are unreachable at the numbers above office: 866-262-2793 or 866-262-2931. You may go to Jefferies website: www.jefco.com, click on "Business Continuity Plan" and receive further instructions. Jefferies personnel shall instruct you to call the 800#s where they will be transferred to the proper department at Jefferies that can assist them with account info or trade execution requests.

PFG has also contracted with various entities to insure that sensitive information is made redundant at back-up facilities. However, due to the nature of such information we do not disclose the specific location of any back-up facilities, any proprietary information contained in our Business Continuity Plan or the parties with whom we have back-up arrangements.

Please call us should you have any questions at 732-249-6400.

Thank you.

Princeton Financial Group, LLC