



Customer Complaint Contacts

Princeton Financial Group LLC (PFG) insists on integrity, honesty, and fairness in all aspect of our business, and we seek the same from those with whom we conduct business with. All business transactions are reflected in our records in accordance with established procedures and are subject to audit.

We recognize our responsibility to be responsive to our customers. In the event you need to address a complaint to our organization, please use the following contacts:

Name: **André J. Bakhos**
Dept: Compliance
Office: Princeton Financial Group LLC
2 King Arthur Court, Suite D, North Brunswick, NJ 08902
732-249-6400

Name: **Samuel F. Lek**
Dept: Compliance
Office: Lek Securities Corporation Inc.
140 Broadway, 29th Floor, NY, NY 10005
212-509-2300

Name: **Michael D. Mainwald**
Dept: Operations
Office: Lek Securities Corporation Inc.
140 Broadway, 29th Floor, NY, NY 10005
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Thank you for your continuing support.